THE INDIVIDUAL CHILD: TEMPERAMENT, EMOTION, SELF, AND PERSONALITY

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INTRODUCTION

This chapter is concerned with the unique qualities that develop to distinguish the individual child from others, the qualities that contribute to the growth of personality. The goal is to understand what the child brings to the social world from the very beginning that marks developing individuality. It is important to note, however, that “the individual child” does not really exist except in the mind of the theorist. From the moment of conception throughout life, a person’s growth is constituted by the influences of others, beginning with the quality of prenatal care and continuing in the variety of social influences that guide developing thoughts, emotions, and personality throughout adulthood. Nor do developing people come as empty entities to these interactions. They bring with them temperamental predispositions, unfolding emotional capacities, and developing self-awareness that individualize each transaction with the social world. In this regard, considering “the individual child” involves asking what developing people bring to their encounters with family members, peers, the school, and community.

Why focus on developing temperament, emotion, and self in the construction of personality? One reason is that these three early-emerging attributes establish young children’s individuality to others—and to themselves. Temperament forms the initial bedrock of personality development through the dispositions by which young infants first exert a unique influence on others around them, and become recognized by others as distinct individuals. Emotion is an important way that individuality is developmentally broadened and deepened as emerging capacities for emotional expression, understanding, and regulation contribute to the growth of personality. Self is important for how it organizes emergent personality processes around a changing, yet consistent, core of self-perceived personhood. This trio of emerging capacities helps to define individuality from the beginning of life. Another reason is that temperament, emotion, and self each reflects an interaction between emergent intrinsic capacities and the influences of the social world. In this ongoing dynamic between nature and nurture, personality takes shape, and each developing child becomes a unique actor in a complex social world.

The development of temperament is considered first, in the next section. We address the defining features that distinguish temperament from moods or emotions, and then consider the core dimensions of temperamental individuality. Then we summarize research findings...
concerning the stability of temperament over time and its relation to personality features, especially in relation to new research in molecular genetics on the biological foundations of temperament. This leads to a broader discussion of how temperament develops, and the influence of temperament in the growth of behavior and personality. Emotional development is profiled in the section that follows. Definitional issues are again considered first. Because emotions are so complex, our discussion then turns to the question, “what is emotional development the development of?” In answering this question, the psychobiological foundations of emotion; the growth of emotion perception and understanding; empathy; emotion and the growth of self-understanding; emotional display rules; and emotion regulation are each profiled, along with the growth of emotion in close relationships. The last major section considers the development of self. Not surprisingly, we first consider “what is ‘self’?” and the various dimensions of self-awareness and self-understanding that emerge developmentally. We then offer a developmental outline of how the self emerges in infancy, early childhood, middle childhood, adolescence, and adulthood. In a concluding section, we relate the developmental processes discussed in this chapter to the growth of personality. Throughout this chapter, we consider for each topic the importance of culture, emerging new research questions, and methods of study.

TEMPERAMENT

Beginning shortly after birth, a child’s individuality is manifested primarily in temperament. The child’s dominant mood, adaptability, activity level, persistence, threshold for distress (or happiness), self-regulation, and other characteristics are important because of the influence they have on others, and because they constitute the foundations of personality growth. Parents and other caregivers devote considerable effort to identifying, accommodating to, and sometimes modifying the temperamental features of young children. Parents also understand that, to the extent that we can see in the baby the person-to-be, we see in temperament the personality-to-be. Most parents are fascinated by their child’s temperamental individuality because it distinguishes their child in ways that foreshadow, they believe, the growth of personality dispositions. Developmental research into temperament has been motivated by the same interests. What is temperament and its core features? How consistent over time are temperamental characteristics, and do they constitute the basis for personality development? How does temperament influence development—and how does temperament itself develop over time?

Defining Temperament

Temperament concerns the early emerging, stable individuality in a person’s behavior, and this is what distinguishes temperamental individuality from more transient moods, emotions, or other influences on the developing child. More specifically, temperament is defined as constitutionally based individual differences in behavioral characteristics, especially those reflecting reactivity and self-regulation, that are relatively consistent across situations and over time (Goldsmith et al., 1987; Rothbart & Bates, 2006). Encompassed within this definition are several important points.

First, temperamental characteristics are constitutional in nature. Temperament is biologically based and derives from the interaction of genetic predispositions, maturation, and experience. The biological foundations of temperament are multifaceted. Individual differences in temperamental qualities associated with emotionality, activity, and other characteristics are strongly heritable (Caspi & Shiner, 2006; Goldsmith, 2002; Plomin, Defries,
Temperament is biologically based behavior, and as such it is influenced by genetic and biological factors. The biological foundations of temperament are thought to develop early in life and to influence social, emotional, and other behavioral attributes over time. Temperament is defined as the stable and characteristic patterns of behavior that emerge early in life and persist throughout development. Individual differences in temperament can be influenced by genetic factors, which contribute to the biological foundations of temperament. Genetic individuality is expressed in many physiological systems, including the reactivity of subcortical and sympathetic nervous system structures, neuroendocrine functioning, cerebral asymmetry, parasympathetic regulation, attentional processes in the central nervous system, and many other psychobiological processes.

Molecular genetics research has implicated several common genetic polymorphisms with temperament and personality characteristics. These include polymorphisms in the dopamine D4 receptor (DRD4), which is associated with novelty seeking and impulsivity, and the serotonin transporter promoter region (5HTTLPR), which is associated with negative emotionality and harm avoidance (Ebstein, Benjamin, & Belmaker, 2000). Genetic individuality, in turn, is expressed in many physiological systems, including individual differences in the reactivity of subcortical and sympathetic nervous system structures (Kagan & Fox, 2006), neuroendocrine functioning (Gunnar & Davis, 2003; Gunnar & Vasquez, 2006), cerebral asymmetry (Fox, Schmidt, & Henderson, 2000), parasympathetic regulation (Porges, 2007; Porges, Doussard-Roosevelt, & Maiti, 1994), attentional processes in the central nervous system (Rothbart, 2007; Rothbart, Posner, & Kieras, 2006), and many other psychobiological processes (see Martin & Fox, 2006, and Rothbart & Bates, 2006 for reviews).

Many of these features of biological individuality emerge very early in life, and thus influence developing social, emotional, and other dispositional qualities in the child. Although it is common to assume that the biological foundations of temperament account for the stability of temperamental attributes over time, it is important to remember that these biological foundations are also developing systems whose maturation may change the nature or organization of temperament. The early months and years of life witness not only the budding of behavioral individuality, in other words, but also the rapid growth and consolidation of neurological, neuroendocrine, neocortical, and other biological systems on which temperament is based. As a consequence, the 3-year-old is biologically much different from the newborn, and these biological differences contribute to developmental changes in temperamental qualities.

Furthermore, experiences can also modify biological functioning in ways that influence temperament. This is seen most clearly in how early experiences of stress or trauma heighten biological stress reactivity in young children, such as how neglectful or abusive care can alter cortisol levels and other aspects of biological stress responding, causing children to become more temperamentally irritable and more likely to show distress (or withdrawal) in response to stressful situations and then fail to adapt to these situations and instead respond in a physiologically more adaptive manner in the presence of a sensitive caregiver (Nachmias, Gunnar, Mangelsdorf, Parritz, & Buss, 1996). These examples illustrate the fundamental interaction of biology and experience in the growth of temperament and its developmental influences.

A second defining feature is that temperament is expected to be relatively stable over time. That is, individual differences in temperamental characteristics are stable: A child who is dispositionally more cheerful than peers in infancy should, as a preschooler, still be more cheerful than age-mates. We should not expect temperamental characteristics to be rigidly stable in developing persons, but they should show greater stability than do other behavioral attributes.

Studying the stability of temperament over time poses theoretical as well as methodological challenges, however. Theoretically, under what circumstances are temperamental attributes likely to be stable over time, and when is discontinuity more likely? Are there developmental phases when temperament is more likely to be modifiable, for instance, and does the quality of an individual’s transactions with the social environment, such as parent–child relationships, influence the stability of temperament? Are some individuals more susceptible to changes in temperament than others? We shall consider later in greater detail these theoretical issues.
Methodologically, temperamental characteristics are inferred from behavior, but a person’s behavior changes substantially with development. Growth of this kind makes the study of stability or change in temperament a difficult task. An infant with a high activity level manifests this attribute quite differently from a comparable preschooler or adolescent, for example, and high activity level is also likely to mean different things to other people in that child’s world (it may be an amusing characteristic to the parents of an infant, but an irritating attribute to a preschool teacher seeking to manage a group of children). Using developmentally appropriate indicators, it is tricky to assess the same temperamental characteristic in an individual over time, but the failure to do so can contribute to a misestimation of the stability of temperament.

Third, temperament interacts with the environment as an influence on development. Although it is common to think of temperament as having a direct and specific influence on developing capacities such as attachment, sociability, and adjustment, it is more often true that its influence is mediated by environmental characteristics, such as the demands and stresses of the child’s home, the sensitivity and adaptability of social partners, and the manner in which temperament guides the child’s choices of activities in the environment as well as interpretations of those experiences. Several studies have shown, for example, that the quality of parental care interacts with early temperament to shape later physiological and behavioral outcomes associated with temperament (Crockenberg, Leerkes, & Lekka, 2007; Porter, Wouden-Miller, Silva, & Porter, 2003; Sheese Voelker, Rothbart, & Posner, 2007). This is true even for children with “difficult” temperaments, because the consequences of temperamental difficulty are mediated by the ways in which temperament can be expressed in social contexts (in constructive or inappropriate ways), the reactions of other people, and the values of the culture concerning normative behavior. The fact that temperament interacts with environmental influences should not be surprising because development is complex and is rarely guided by one or a few primary influences. Any temperamental attribute can yield diverse developmental trajectories depending on the context in which temperament is perceived and interpreted, and other influences on the same trajectories.

Temperament also interacts with the environment because of how temperament is constructed in light of cultural values and beliefs (Bornstein, 1995). Differences in how children’s characteristics are conceptualized arise from cultural variation in how particular temperamental characteristics are valued (Cole & Dennis, 1998; Cole & Packer, Chapter 2, this volume; Harwood, Miller, & Irizarry, 1995). Shy and inhibited behavior is viewed much differently, and is associated with different parenting practices, in North American and Chinese families, for example, with inhibition associated with warm, accepting parenting in traditional areas of China but with punitiveness in Canada (Chen et al., 1998). Likewise, Japanese mothers are reported to value levels of proximity-seeking and fussiness in their infants that US mothers find problematic or indicative of developmental problems (Rothbaum, Weisz, Pott, Miyake, & Morelli, 2000).

Dimensions of Temperament

Another way of answering the question “what is temperament?” is to identify the primary dimensions of temperament. In what ways is temperamental individuality typically expressed? Temperament theorists vary somewhat in their answers to this question (Goldsmith et al., 1987; Rothbart & Bates, 2006). To Thomas, Chess, and their colleagues—who emphasized that temperament defines the stylistic component of the “how” of behavior rather than the content (the “what”) of behavior—temperament is expressed in characteristics such as the rhythmicity of biological functions, approach to or withdrawal from new stimuli, adaptability, distractibility, activity level, quality of mood, persistence or attention span, intensity of reaction,
and sensory threshold of responsiveness (Chess & Thomas, 1986; Thomas & Chess, 1977; Thomas, Chess, & Birch, 1968). To Rothbart and her colleagues, temperamental individuality includes broad dimensions such as negative affectivity, surgency/extraversion, and effortful control as well as specific temperament features such as soothability and activity (see Rothbart & Bates, 2006). Buss and Plomin (1984) portrayed temperament in terms of variability in three dimensions: activity level, emotionality, and sociability.

There are some common themes in these alternative conceptualizations of temperament (see Table 9.1). Each regards activity level as a distinctive temperamental quality (to Rothbart, as a component of surgency), primarily owing to its salience and its broad influence on behavior. Each views self-regulation as important to temperamental variability because of how differences in regulatory capacities influence behavior, attention, impulsivity, and emotional expression. In addition, variations in positive and negative emotionality figure prominently in each formulation. Indeed, Goldsmith and Campos (1982) defined temperament as individual differences in the expression of the primary emotions, such as happiness, sadness, distress, fear, and anger. It is interesting that emotionality is such an important part of how temperament is conceptualized because this feature of temperament reflects the centrality of emotion to the behavioral variability that we can observe in infants and young children, whose prone-ness to distress, soothability, and smiling and laughter are extremely important to caregivers. Of course, temperament is different from emotion because it is more multidimensional and more enduring than are transient emotional states, but it is unmistakable that both immediate

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<th>Common Dimensions</th>
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<th>Thomas &amp; Chess</th>
<th>Buss &amp; Plomin</th>
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<td>Negative affectivity</td>
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<td>Low-intensity pleasure</td>
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<td>High-intensity pleasure</td>
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<td>Smiling &amp; laughter</td>
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<td>Positive anticipation</td>
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¹ Rothbart and Bates identify three broad temperament dimensions, along with specific features of temperament reflecting variability in each dimension.
² Thomas, Chess, and their colleagues believed that these specific dimensions of temperament could be integrated into three broad temperament types: "easy," "slow to warm up," and "difficult."
³ Goldsmith and Campos (1982) proposed a theory of temperament that defined temperament as individual differences in the arousal and expression of the primary emotions.
⁴ Kagan (2002) focuses on behavioral inhibition as a core temperament dimension, which reflects the self-regulatory features of temperament.
emotional expressions and the more enduring emotion-related features of temperament are salient features of early behavior.

Moreover, early temperamental distress proneness, soothability, and positive emotionality are also salient as developmental precursors to later personality features such as extraversion, agreeableness, neuroticism, conscientiousness, shyness, and aggressiveness. Indeed, the emotional features of early temperament make it easy to see the conceptual overlap between infancy/childhood temperament and the structure of adult personality, and this connection adds credence to the view that temperament constitutes an important foundation for personality development (Caspi & Shiner, 2006).

Emotional dispositions are also central to the conceptualization of temperamental “difficulty.” Temperamental difficulty is a profile that includes predominantly negative mood, frequent and intense negative emotional behavior, irregularity, poor adaptability, and demandingness (Bates, 1980, 1987; Chess & Thomas, 1986; Thomas et al., 1968). (Its counterpart, easy temperament, is characterized by generally positive mood, soothability, regularity, and adaptability.) The concept of difficult temperament implies that particular constellations or profiles of temperamental attributes may be “greater than the sum of their parts” because they have a more significant impact on social relationships and behavioral adjustment than do individual dimensions taken alone. Consistent with the characteristics of difficulty, several researchers have found that infants with a difficult temperamental profile are more likely than others later in development to exhibit externalizing difficulties (such as aggression and conduct problems) and internalizing difficulties (such as anxiety) (Bates, Bayles, Bennett, Ridge, & Brown, 1991; Keily, Bates, Dodge, & Pettit, 2001; see Rothbart & Bates, 2006). These associations may occur because difficult temperament makes a child more vulnerable to later behavior problems, but they may also occur because temperamental difficulty influences the social relationships that also shape personality growth and the emergence of externalizing and internalizing difficulties.

A very different approach to temperamental difficulty, however, has been proposed by Belsky (Belsky, 2005; Belsky, Bakermans-Kranenburg, & van IJzendoom, 2007), who argues that negative temperamental characteristics render children more susceptible to positive as well as negative environmental influences. This differential susceptibility hypothesis argues that the association of difficult temperament with later behavioral problems is not owing to continuity in intrinsic vulnerability, but because difficult children are more likely to be affected by negative environmental influences around them (such as frustrated parenting). However, research suggests that children with difficult temperament are also more susceptible to positive parenting influences than are children with easier temperamental qualities, suggesting that temperament may influence how much children are affected by the social environment (Gallagher, 2002). These processes require further study.

Another way of understanding temperamental profiles is to conceptualize temperament as revealing fundamental variability in reactivity and self-regulation (Rothbart & Bates, 2006; Rothbart, Ellis, & Posner, 2004). Reactive features of temperament reflect how easily a person becomes aroused to respond to events, and can be observed in the rate of onset, speed of escalation, persistence, and intensity of emotional reactions, as well as variability in activity level, attention span, and sensory threshold. Self-regulatory features of temperament are revealed in how reactivity is modulated, and can be observed in effortful control, inhibition of responding (as in soothability), orienting, and adaptability. One advantage of the conceptualization of temperament in terms of reactivity and self-regulation is that it mirrors the dynamic interaction between excitatory and inhibitory nervous system processes, and thus better enables researchers to map the psychobiological components of temperamental individuality (Kagan & Fox, 2006; Rothbart & Bates, 2006; Rothbart et al., 2004). This approach also enables theorists to see the range of temperamental attributes in terms of two broad
aspects of behavioral responding that characterize people throughout life, because individual differences in reactivity and self-regulation remain important throughout the life course.

Different theoretical views of the dimensions of temperament have methodological implications, of course. Temperament can be measured in various ways, but each approach has interpretive problems as well as advantages (Bates, 1987; Rothbart & Goldsmith, 1985). Parents are likely to have considerable insight into the temperamental attributes of offspring because of their long-term knowledge of the child's behavior in many different circumstances, and parent-report temperament questionnaires are inexpensive and convenient to use. But parents may be biased informants in various ways: Their relationships with offspring, their personality characteristics, and their efforts to present their children (and themselves) in a positive light can each skew temperament reports. There is currently vigorous debate concerning the suitability of using parent-report temperament questionnaires and the extent to which these measures can be made more valid (compare Kagan & Fox, 2006, with Rothbart & Bates, 2006).

Laboratory and naturalistic observations of temperament-related behavior in children have their own strengths and weaknesses. Direct observations by unacquainted observers are more objective, and laboratory studies enable researchers to control more precisely the conditions in which children are studied. But observational approaches are expensive and time-consuming, are limited (by practical and ethical considerations) in the range of circumstances in which children are studied, and cannot enable repeated assessments in different situations of the same temperamental attributes (comparable to how parent-report measures index temperamental variability over a range of situations). The result may be a comparatively distorted or incomplete portrayal of temperament. Consequently, some researchers recommend a multimethod strategy in which parent-report and observational assessments of temperament are used convergently and in which the unique and shared variance of each dimension is measured (see Bornstein, 1998, for a thoughtful discussion of different meanings of stability and continuity in behavior). Stability of Temperamental Qualities, and Prediction of Later Behavior

Is it true that we see in temperament the foundations of later personality? Or are temperamental attributes observed early in life rather pliable and changing over time? Embedded within these superficially straightforward questions are more difficult queries (see Bornstein, 1998, for a thoughtful discussion of different meanings of stability and continuity in behavior). Can the same temperamental attribute be measured at different ages using age-appropriate measures? If so, is there a strong association between comparable measures of temperament at each age (i.e., does a child rank similarly among peers on the same temperamental quality at different ages)? Does temperament at an earlier age predict other relevant later behavior, such as personality attributes, in the same person? If so, does the strength of prediction depend on the age at which temperament is initially assessed?

The answers to these questions depend, not surprisingly, on the specific temperament dimensions of interest, along with other developmental considerations (see Caspi & Shiner, 2006, Rothbart & Bates, 2006, and Shiner & Caspi, 2003, for reviews of this research in which the following account is based). Several general conclusions seem warranted.

First, measures of temperament obtained neonatally or in the initial months of life are often weakly or inconsistently associated with later assessments of the same temperament dimensions. This is true, for example, of distress proneness, activity level, and attention. The instability of individual differences in these dimensions of temperament should not be surprising in view of how significantly the infant is changing during the first year as psychological systems progressively mature. The generalized distress response of the newborn
gradually becomes more differentiated to distinguish anger/frustration and fear, for example, as the infant’s appraisal capacities and neurophysiological systems mature (Buss & Goldsmith, 1998). Later in the first year, inhibition begins to appear (illustrated in the wariness that 9-month-olds often show to a stranger) that further alters the child’s negative reactivity, and may also affect activity level (Thompson & Limber, 1990). At the same time, neocortical maturation underlies changes in attentional processes that result in greater voluntary control over visual attention and changes in temperamental qualities such as attention span (Rothbart et al., 2004). Taken together, the rapid pace of developmental change in the first year means that the biological systems that express temperament are themselves changing, and these changes likely contribute to the instability of individual differences in temperament during this period. A temperamental characteristic such as attention span is a psychobiologically more mature behavior in a 3-year-old than in a 6-month-old, and the developing neurobiological, perceptual, and cognitive systems associated with temperament likely reorganize developing individuality.

Second, greater short-term stability in certain temperament dimensions begins to be observed after the first year, and sometimes earlier. Characteristics such as behavioral inhibition, positive emotionality, effortful control, and even activity level show moderate stability in repeated assessments over periods of several months or sometimes years when they are carefully measured in age-appropriate ways (or based on parent reports using developmentally appropriate questions). Moreover, these early assessments of temperament sometimes predict later personality characteristics. Kagan, Snidman, Kahn, and Townsley (2007) found, for example, that groups of infants who were distinguished by their emotional reactions to novelty were also different in adolescence: Infants who were highly reactive, for example, were found to be dour and anxious about the future as adolescents. In another study, Bosquet and Egeland (2006) provided a description of how these kinds of long-term influences may occur through the ways that early temperament contributes to a developmental cascade of intervening influences leading to adolescent anxious symptomatology. In their study, early differences in reactivity and regulation predicted emotion regulation problems in preschool that foreshadowed, in turn, childhood anxiety problems in a cumulation of emotional risks over time. However, associations between temperament assessments and long-term behavioral outcomes are weaker or inconsistent in most other studies, suggesting that aspects of developing individuality remain fairly pliable across childhood. In many respects, developing individuality has not yet become consolidated.

Third, there is increasing evidence for longer-term associations between temperament and later behavior after the second year of life. Sometimes these long-term associations are quite impressive. In a sample of over 800 children in New Zealand studied every 2 years from early childhood to young adulthood, Caspi and his colleagues have found significant associations between temperamental qualities at age 3 and personality traits at ages 21 and 26 (Caspi et al., 2003; Newman, Caspi, Silva, & Moffitt, 1997; see also Caspi & Silva, 1995). Young children who were temperamentally “undercontrolled” (impulsive, irritable, distractable) were more likely to show externalizing behavioral problems in adolescence and lower levels of adjustment and greater interpersonal conflict in early adulthood. By contrast, young children who were temperamentally inhibited were, as young adults, more likely to be rated as cautious and restrained but showed adequate adjustment. These impressive consistencies in individual characteristics indicate that childhood temperament can have long-term correlates in later personality growth. Not all studies show such strong continuities, however, and such findings are not apparent for all children (see, e.g., Pfeifer, Goldsmith, Davidson, & Rickman, 2002). Considerably more research is required to discover why these continuities between temperament and later personality exist for some children, but not for others. Some theoretical proposals are presented in the next section.
Why does temperament have greater predictive power after infancy? One reason may be that some of the psychobiological foundations of temperament have become consolidated (although many continue to mature throughout childhood) and are having enduring influences on personality development. Once many of the core neurobehavioral bases of temperament have become organized during the early years, in other words, temperamental individuality is expressed more consistently. Another reason may be that other concurrent developmental processes help to consolidate temperamental individuality after infancy. As we shall see, the preschooler is an increasingly self-aware, intentional child who is developing a more complex self-image and whose choices of friends, activities, and settings are guided, in part, by an emerging sense of self. That sense of self is likely to be grounded in temperamental individuality. For instance, young children who begin to perceive themselves as more shy will choose friends with similar characteristics, participate in certain activities (such as dyadic play) in which they feel comfortable in preference to other activities requiring leadership or public performance, and become increasingly perceived by others in ways that are consistent with their self-perception as shy or inhibited. Because this sense of self is influenced by temperamental characteristics, it may contribute to the integration of temperamental characteristics with the development of personality and the consolidation of behavioral individuality in the years to come. Finally, the perceptions of others are also important contributors to the consolidation of temperamental individuality. By the time a young child reaches the toddler and preschool years, caregivers, childcare teachers, peers, siblings, and others have likely developed stable perceptions of what the child is like that influence how they respond to her or him. In doing so, they help to reinforce and consolidate the behavioral characteristics they perceive.

Taken together, temperament research shows that the stability of temperament, and its prediction to later behavioral attributes, is contingent. Some researchers believe that temperament is more likely to be stable within, but not between, major periods of developmental change and reorganization, such as the transition from infancy to early childhood (Goldsmith et al., 1987). Others argue that temperament will become increasingly stable, and predictive, with increasing age because of the ways that developing self-understanding, social awareness, intentionality, social comparison, and other changes make children increasingly aware of their individual characteristics and capable of acting on this realization (Caspi & Silva, 1995; Shiner & Caspi, 2003). These two views are not, of course, inconsistent approaches, and each helps to explain why early temperament is sometimes not very predictive of later individuality. Despite this, theorists concur, temperament becomes a foundation for personality as temperamental attributes become developmentally consolidated and incorporated into a stable personality structure.

Temperament and Development

Temperamental dispositions do not always foreshadow later personality. Sometimes change rather than continuity over time is more apparent. Understanding why this is so requires appreciating the dynamic interaction of temperamental characteristics with other developmental influences in personality growth, particularly from the social environment, and how this interaction shapes behavioral individuality. Temperament researchers have several ways of portraying this developmentally interactive process.

First, a child's temperamental profile may mesh well, or poorly, with the requirements and opportunities of the child's social setting. Consequently, the influence of temperament on personality or adjustment depends on the "goodness of fit" between temperament and environmental demands. Chess and Thomas (1986; Thomas & Chess, 1977) originated this concept in describing the developmental outcomes of difficult temperament. As they argued,
temperamental difficulty need not contribute to later behavior problems if the social and physical environment is accommodated to the child’s needs and characteristics. When parents are tolerant and understanding, for example, and opportunities for constructively channeling temperamental qualities are provided (e.g., frequent flexible self-chosen options rather than no choices in activities), difficult temperament can lead to more positive, adaptive personality attributes. By contrast, even children who are temperamentally easy will likely develop behavioral problems if they live in settings where demands are excessive and developmentally inappropriate, and partners are cold and insensitive. The same process of environmental “match” or “mismatch” applies to other temperamental attributes, such as activity level, negative or positive emotionality, or attention span. In each case, the accommodation of the environment to the child’s unique characteristics foreshadows better adjustment than the failure of social partners to recognize and adapt to the child’s temperamentally based needs. This is one of the reasons why sensitive, responsive parenting is such an important predictor of positive personality outcomes in children and a buffer of the negative effects of early poor adjustment (Thompson, 2006a).

It is important to remember, however, that a child’s environment changes significantly over time in ways that can also influence temperament–environment goodness-of-fit. As children mature, for example, parents, teachers, and other adults increasingly expect more competent, self-controlled behavior; children enter into settings (such as preschool and school) that require compliance, initiative, and cooperation, and children increasingly participate with adults in circumstances (such as church, concerts, and other events) in which they must understand and enact socially appropriate behavior. Environmental expectations thus become less flexible and less amenable to some children’s temperamental profiles over time, consistently with the normative expectations of the culture (Bornstein, 1995). These changes in social expectations may also include divergent expectations for gender-appropriate conduct, such that boys and girls find environmental demands differentially amenable to their behavioral styles. As a consequence, a particular temperamental profile may fit well with environmental demands and opportunities at one age (e.g., low persistence or attention span in infancy), but provide a poor fit later (e.g., the same characteristics during the school years). In this way, the temperament–environment match is a developmentally dynamic one, and this dynamic is likely to influence the stability of temperamental attributes over time as well as their relations to later personality.

Second, a child’s temperamental profile may influence how the child interacts with people and settings. This can occur in several ways (Scarr & McCartney, 1983; Shiner & Caspi, 2003). For one, a child’s behavioral style may evoke certain reactions from others, such as how a child with a temperamentally sunny disposition naturally elicits smiles and interest from peers and adults. These positive social reactions are likely, in turn, to influence the child’s development and the growth of personality attributes (such as sociability) much differently from the reactions evoked by a child with a more negative temperamental profile. For another, a child’s behavioral style may guide that person’s preferences for partners, settings, and activities. For example, someone with a high activity level is more likely to participate in sports with other active people than a person with a low activity level for whom more sedentary activities and partners are more comfortable. These choices also influence development because they channel the range of opportunities and challenges that children are likely to encounter to those that accord with their dispositional characteristics. Furthermore, children’s behavioral styles may influence how they alter their social environments. For example, a child with a difficult temperamental profile may have a stronger influence on other people than a child with a more inhibited or adaptable style.

Over time, of course, children and youth become progressively more capable of choosing their partners and settings to harmonize with their personal preferences. By the time of
Temperament: Conclusion and Future Directions

Contemporary research on temperament offers conclusions that differ markedly from traditional portrayals. By contrast with traditional formulations that temperament emerges early, is highly consistent across situations and over time because of its psychobiological origins, and is directly tied to the growth of personality, current research underscores that temperament...
is a biologically based but developmentally evolving feature of behavior. For this reason, temperamental attributes become increasingly more consistent over time as temperamental individuality is enveloped into the network of self-perceptions, behavioral preferences, and social experiences that together shape developing personality. Moreover, contemporary research shows that, although temperament may have direct effects on behavior and development, temperament more commonly interacts with environmental influences to shape development in complex ways.

These conclusions remind us of the complexity of human development and of the multifaceted ways that temperament influences emergent individuality. They also help to explain why we commonly consider infant or child temperament, but rarely conceive of adult temperament. By adulthood, personality has fully developed to include many features of self-understanding, social dispositions, behavioral style, attributional processes, and other facets that incorporate, elaborate, and extend initial temperamental dispositions.

Future directions in temperamental research will be enlivened by methodological advances, particularly in the field of molecular genetics, which enables researchers to identify specific gene polymorphisms and study how their behavioral correlates interact with environmental characteristics. Just as temperamental research has underscored the importance of temperament–environment transactions in behavioral development, molecular genetics is highlighting the perversiveness of gene–environment interactions. As a practical illustration, researchers found that a program designed to improve maternal sensitivity to young children with behavioral problems worked best for children with a gene polymorphism (DRD4 7-repeat) that is associated with problem behavior, aggression, and hyperactivity (Bakermans-Kranenburg, van IJzendoorn, Pijlman, Mesman, & Juffer, 2008). Genetic vulnerability in the child interacted with the environmental intervention to alter behavioral outcomes for young children (see Figure 9.1). Molecular genetics research will also play a role in studying the effects of gene–gene interactions on behavioral development, which are likely to be especially important for complex behaviors such as temperament. The influence of one gene may depend on the presence or absence of another gene or genes (Ebstein et al., 2000; see Lakatos et al., 2003, for an example), and better understanding of these interactions will contribute to understanding genetic and environmental risk processes.

![Figure 9.1](image_url)
For this reason, as temperamental preferences, and behavior and developments to shape
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for young children
emotional experience changes considerably with development and includes a rich
variety of basic and complex feelings that far surpass the range of temperamental variability
mood. Emotions are also complexly tied to other developmental processes. Emotional
development is associated with psychobiological maturation, self-understanding and the
understanding of others, the child’s growing capacities to appraise people and environments,
social interaction and self-control, and the awareness of social rules and social conventions.

We take for granted that emotions are part of everyday life. But consider how much
emotional experience changes over the life course. It is easy to see in infancy the extremes of
emotional arousal, from raucous crying to exuberant delight, that are minimally regulated
by the child and sometimes uncontrollable except through the sensitive intervention of care-
givers. In infants the raw, basic experience of emotion is readily apparent, but the infant is
also sensitive to the emotions of others and strives to comprehend their meaning. For the
preschooler, basic emotions that have been present from early in life have become sup-
plemented by self-conscious emotions, such as pride, guilt, shame, and embarrassment, that
reflect evaluations of the self (see Table 9.2). Emotional experiences are also thought about,
discussed with parents and peers, and frequently enacted in sociodramatic play. The pre-
schooler is more self-aware emotionally and has begun to understand the causes and
consequences of emotional experiences and the influence of emotions on social interaction.

By middle childhood, children have become more reflective and strategic in their emotional
lives. Emotions can be more effectively regulated through cognitive means (such as using
distracting thoughts) as well as behavioral strategies (such as fleeing a distressing situation),
and emotions can be intentionally hidden through display rules that dissemble genuine feel-
ings. But children of this age are also capable of genuine empathy and greater emotional
understanding than ever before. In adolescence, it appears as if the emotional swings of
infancy have reappeared, but the emoter is now a psychologically more complex individual
who reflects on the unique origins of personal emotional experience, is acutely sensitive to the
psychological bases of emotion in others (especially peers), and can feel strongly in response
to symbolic (e.g., music, narrative) as well as direct elicitors of emotion.

Does emotional development continue into adulthood? Yes, but the character of its devel-
opment changes. Rather than witnessing the unfolding of new, psychologically more complex
emotional experiences, adults often seek to create personal lifestyles that are emotionally
TABLE 9.2
Basic and Self-Conscious Emotions in Development

<table>
<thead>
<tr>
<th></th>
<th>Basic Emotions</th>
<th>Self-conscious Emotions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Examples</td>
<td>Happiness</td>
<td>Pride</td>
</tr>
<tr>
<td></td>
<td>Sadness</td>
<td>Guilt</td>
</tr>
<tr>
<td></td>
<td>Fear</td>
<td>Shame</td>
</tr>
<tr>
<td></td>
<td>Interest</td>
<td>Embarrassment</td>
</tr>
<tr>
<td></td>
<td>Anger</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Disgust</td>
<td></td>
</tr>
<tr>
<td>Origins</td>
<td>Biologically deeply rooted in the human species</td>
<td>Arousal depends on social values and expectations</td>
</tr>
<tr>
<td>Universal?</td>
<td>Strongest evidence that these are universal for humans</td>
<td>Unclear whether self-conscious emotions are all culturally universal</td>
</tr>
<tr>
<td>Development</td>
<td>Appear at birth or early in infancy</td>
<td>Develop late in the second and the third year of life</td>
</tr>
</tbody>
</table>


satisfying, predictable, and manageable through their choices of occupation, partners, and other activities. In other words, adults strive to construct their lives to incorporate emotional experiences that are personally desirable, and this strategy may include career choices that promise the satisfactions and challenges of productive labor, personal choices such as making (and breaking) marital ties and the pleasures (and turmoil) of childrearing, and leisure choices that offer the excitement of musical, artistic, athletic, or other creative pursuits. Of course, not all succeed in doing so, especially when their economic conditions do not permit much choice in life decisions. Adults also become skillful at managing the expression of their personal feelings to accord with their social circumstances and personal goals. Although new capacities for emotion may emerge (e.g., poignancy), the theme of emotional development in adulthood is the adaptive integration of emotional experience into satisfying daily life and successful relationships with others. This is one reason why adults seem emotionally more stable and predictable—and, sometimes, less animated—than children or adolescents.

This developmental survey not only underscores the changing tapestry of emotional experience, but also highlights the relation between emotional development and the growth of personality. At each stage of life, new capacities for emotional experience, expression, and understanding contribute to personality development as they help to organize and express developing individuality. In this respect, emotional growth is an important part of the growth of personality from infancy through later life. Furthermore, in tracing the influence of emotion in development, it is increasingly clear that emotional development is shaped by cultural beliefs about emotion. From parents’ reactions to their infants’ emotional displays to everyday views of what constitutes mature emotionality in adulthood, cultural values influence the salience of particular emotions, the significance accorded emotional life, and the specific ways that emotion influences personality development.

Defining Emotion

But what is emotion? The question almost begs an answer because of the ubiquity of emotion in everyday experience. Each person is intimately acquainted with the visceral reactions (rapid heart rate, sweaty palms), subjective experience (inability to concentrate, infusion or depletion of energy), cognitive appraisals (of threat, the unexpected, goal achievement), facial expres-
9. THE INDIVIDUAL CHILD

...sions, and other familiar constituents of emotions that we linguistically demarcate as fear, anger, joy, distress, guilt, or other feelings.

Our common-sense view is that emotions are organized categorically because our subjective, physiological, and cognitive experiences of emotions, such as sadness, anger, disgust, and joy, are so different. This view has deep roots within developmental emotions research (see, for example, Izard, 1991; Izard & Ackerman, 2000; Lewis & Michelson, 1983). But this view has been increasingly questioned. Researchers examining emotion in different cultures point out that the manner in which we commonly conceptualize different emotions reflects the linguistic distinctions of English-speaking people and are different for people from different cultural and linguistic systems (Lutz, 1988). Others argue that our emotional lives are constituted not by a succession of qualitatively distinct emotions but rather by subtly nuanced blends of a broad variety of emotional states that range in dynamic and intensive qualities according to our interactions with the surrounding world (Thompson, 1990).

As a result, most emotion theorists have adopted a functionalist approach (Saarni, Campos, Camras, & Witherington, 2006) that emphasizes the role of emotion in goal attainment in everyday experience, as reflected in the following definition: “Emotion is thus the person's attempt or readiness to establish, maintain, or change the relation between the person and his or her changing circumstances, on matters of significance to that person” (Saarni et al., 2006, p. 227). By defining emotion in very broad terms of person-environment transactions that matter to the person, emotion is associated with goal-attainment, as well as with social relationships, situational appraisals, action tendencies, self-understanding, self-regulation, and a variety of other developmentally changing processes. The range of emotion is not confined to a specific set of categories defined by their linguistic delineation (although functionalist theorists talk of “emotion families” that share common characteristics), but is considerably broader because of how emotion is conceptualized in different social and cultural groups based on the nature of typical person-environment transactions. The definition of emotion is thus more open-ended in functionalist accounts. To be sure, the openness in how emotion is regarded from a functionalist perspective introduces considerably greater ambiguity into theory and research on emotional growth (e.g., are hunger, effort, arrogance, and indifference also emotions?), and it is unclear what (if any) features distinguish emotion from other motivational states or from personality processes. But by linking emotion to an individual's goals, developmental researchers have found the functionalist perspective valuable for understanding emotional development in the context of the increasingly complex, sophisticated interactions of the child with a changing social world.

What is Emotional Development the Development of?

We can easily observe the changes that occur in emotion with increasing age. A toddler rages at the collapse of a block tower while an adult ponders his lips while figuring out alternative ways around a blocked goal. But what accounts for growth in emotionality? Emotional development incorporates many features of psychological growth, making this topic an especially integrative field of study (Denham, 1998). These include the psychobiological foundations of emotion, developing capacities for perceiving emotion in others, emotional understanding, the development of empathy, the growth of self-understanding, mastery of emotional display rules, and developing capacities for emotion regulation. The story of emotional development is how these different features of emotion mature and become integrated in shaping emotional experience and its expression.

Neurobiological foundations. In light of the preceding discussion of the psychobiological foundations of temperament, it is unsurprising that emotional development is also
based on neurophysiological, neuroendocrine, and other biological processes that change rapidly in infancy and childhood (LeDoux, 2000; Panksepp, 1998). As a biologically ancient feature of human functioning, emotion is rooted in primitive regions of the brain such as the limbic system, especially the amygdale, which is often regarded as the "emotion center" of the brain (Johnson, Chapter 4, this volume). But because it is involved in the most complex aspects of human behavior, emotion is also guided by some of the most sophisticated regions of the cerebral cortex, especially the evolutionarily newer prefrontal cortex (Davidson, Fox, & Kalin, 2007). Moreover, emotional reactions are also influenced by hormones and neurotransmitters regulated by a variety of brain areas (Gunnar & Vasquez, 2006). This makes an apparently simple emotional reaction a surprisingly complex psychobiological event, and there are significant developmental changes in these neurobiological processes that affect emotional behavior. For example, the unpredictable swings of arousal of the neonate become progressively more modulated and controllable in infancy as maturational advances occur in adrenocortical activation and in parasympathetic regulation (Gunnar & Davis, 2003; Porges et al., 1994). With later developing functional connections between subcortical and frontal systems regulating emotion, furthermore, enhanced capacities for emotion regulation emerge in the childhood years (Lewis & Todd, 2007; Ochsner & Gross, 2007).

**Emotion perception.** Another important facet of emotional development is the capacity to accurately perceive emotion in others. This is an early emerging phenomenon: Even 5-month-olds can discriminate and categorize facial expressions of smiling (Bornstein & Arterberry, 2003). By the end of the first year and early in the second, infants have become capable of discerning the emotional meaning underlying many adult facial and vocal expressions and of incorporating this meaning into their interpretation of the adult's behavior in a phenomenon called "social referencing" (Saarni et al., 2006). When an infant encounters an unfamiliar person or object, for instance, the sight of the adult's reassuring smile or terrified look (especially if it is accompanied by appropriate vocalizations and other behavior) influences the child's tendency to approach or withdraw. The baby appropriately "reads" the meaning of the adult's emotional expression and its relevance to the unfamiliar event. In later months, this basic capacity for accurate perception of emotional signals is supplemented by a more acute awareness of the meaning of the emotional signal. By the end of the second year, for example, toddlers seem to be more consciously aware of the subjectivity of emotional experience: Another person can feel differently than oneself (Repacholi & Gopnik, 1997). As a consequence, parents begin to witness their toddlers intervening in the emotions of others by comforting a distressed peer or teasing a sibling.

**Emotional understanding.** With further conceptual growth, understanding of emotion becomes incorporated into young children's broader knowledge about the psychological states of others. Consistent with the expansion of their naive "theory of mind," for example, 2- to 3-year-olds understand that emotion is associated with the fulfillment of desires, and 4- to 5-year-olds appreciate the more complex linkages between emotions and thoughts, beliefs, and expectations (Bartsch & Wellman, 1995; Thompson & Lagattuta, 2006; Wellman, 2002). This broadening psychological understanding richly expands children's conceptualization of emotion because it enables young children to appreciate how emotion is linked to the satisfaction or frustration of desires (which vary in different people) and to beliefs (which may be incorrect, such as the child who mistakenly thinks that her lunch bag contains a delectable dessert when in fact her father forgot to include it).

In middle childhood, children begin to conceive of emotional processes, such as how emotional intensity gradually dissipates over time, how specific emotions are related to antecedent causes, and how personal background, experiences, and personality can yield unique
emotions that change physiological and biochemically ancient processes in the brain such as the "emotional control center" of the hypothalamus. The most complex and sophisticated regions of the brain (Davidson, Fox, & Pêsaro, 1997; Gopnik, 1997). This makes an unusual event, and the processes that affect the neonate become emotionally advanced occur in the neocortex (e.g., cultural context in which children live.

By what processes do children acquire this expanding understanding of emotion? Along with the influences of developing intellectual capacities and social experience (especially with peers), researchers note that everyday conversations between young children and their parents provide potent opportunities to learn about emotion (Lagattuta & Wellman, 2002; Thompson, 2006b; Thompson, Laible, & Ontai, 2003). This is especially so in cultures that accord significance to discussing emotional experiences. As children begin to share their experiences and observations with caregivers in simple accounts (beginning almost from the time they can talk), parents elaborate, inquire, interpret, and otherwise clarify the child's accounts in ways that contribute to the growth of emotional understanding (Denham, 1998; Dunn, 1994; Thompson, 2006b). Thus when a young preschooler observes his older sister arriving home from school in tears, his inquiry about why this was so can provide a conversational forum for learning about emotion and its causes. In acting thus, of course, adults not only clarify but also socialize emotional knowledge, conveying expectations about appropriate emotional behavior and the causes and consequences of emotional displays (Thompson et al., 2003; Thompson & Meyer, 2007).

In this way, norms and expectations concerning emotion are explicitly communicated to children through conversation. However, the messages that children receive about the causes of emotion, the consequences of emotional displays, and the value of particular emotions depend on both cultural context and the personal characteristics of the child. For example, Fivush's (1994, 1998) research indicates that lessons about emotion differ based on the gender of the child. With girls, parents discuss more sadness than anger, attribute emotions to social-relational causes (e.g., sadness is caused when someone else is hurt), and resolve negative emotions through reassurance and reconciliation. Parents conversing with boys discuss anger more often than sadness, attribute emotions to autonomous causes (e.g., sadness is caused by losing a toy), and are less likely to discuss resolution of negative emotions. Cultural differences also exist in emotion-related beliefs and in parents' inclusion of emotion in conversation, which alters the opportunities for children to learn about emotion in this manner. Mullen and Yi (1995), for example, found that in conversation about past events with their 3-year-old children, US mothers referred to their child's and others' thoughts and feelings nearly twice as often as Korean mothers.
Empathy and emotional contagion. The growth of emotion perception and emotional understanding also enhances the child's vicarious sensitivity to the emotions of others. Young infants often respond resonantly to the emotions they perceive in others—fussing when they hear another person crying, for instance (Sagi & Hoffman, 1976)—but these early episodes of emotional contagion are not truly empathic because the baby has no real comprehension of the circumstances provoking another's feelings. With the rapid growth in emotional understanding that occurs in early childhood, however, young children can respond with genuine empathy (as well as a variety of other emotional reactions) to another's anguish. In everyday circumstances as well as in experimental contexts, toddlers are observed to react with concerned attention to the sight and sound of the mother's distress (Zahn-Waxler, 2000; Zahn-Waxler & Radke-Yarrow, 1990), and empathic responses increase in sophistication and scope in the years that follow. Early empathic responding is sometimes (although not consistently) accompanied by prosocial initiatives, such as efforts to comfort the distressed person, but with increasing age empathy becomes somewhat more reliably associated with helping behavior as well as with other prosocial initiatives, although empathy remains motivationally complex (Eisenberg, Spinrad, & Sadovsky, 2006). Taken together, the growth of empathy reflects children's developing affective as well as cognitive awareness of the emotional experiences of others, as well as the changing role of interpersonal emotion in social relationships.

Emotion and the growth of self-understanding. Emotional growth is also closely tied to the development of self-understanding, which we consider in greater detail in the section that follows. A major advance in emotional growth occurs during the late second and third years of life, when young children become more physically and psychologically self-aware (reflected in verbal self-references and efforts to "do it myself") and, at the same time, show emerging reactions of pride, guilt, shame, embarrassment, and other self-conscious emotions (Lagattuta & Thompson, 2007; Thompson, 2006a). Thus, the simple joy of success becomes accompanied by looking and smiling to an adult and calling attention to the feat (pride), and in response to conspicuous attention toddlers increasingly respond with smiling, gaze aversion, and self-touching (embarrassment). These responses reflect new emotional capacities built on new forms of self-awareness.

The emergence of self-conscious evaluative emotions such as pride and shame during the third year depends not only on the growth of self-awareness, however, but also on young children's appreciation of standards of conduct and the ability to apply those standards to an evaluation of their own behavior (Thompson, Meyer, & McGinley, 2006). Feelings of pride derive from the realization of an accomplishment that is personally meaningful, and likewise guilt is elicited when one violates a significant standard of conduct. The capacities to understand behavioral standards and apply them personally are slowly developing, and young children initially rely on parental evaluations as the basis for their feelings of pride, guilt, or shame in their behavior (Stipek, 1995). Indeed, it is common to find young preschoolers checking back regularly with the parent as they work on a challenging task or are engaged in misbehavior, and the adult's subsequent emotional response—combined with verbal comments underscoring the evaluative standard ("You worked hard at that puzzle!")—significantly shapes the child's own.

In this regard, therefore, the development of self-conscious emotions such as pride, shame, and guilt has a complex connection to the growth of self-understanding. These emotional capacities depend on a young child's self-awareness, but the circumstances in which they are elicited provide important cues to the child concerning self-worth and its association with the child's fidelity to specific standards of achievement or morality. Furthermore, the importance of these evaluative standards to the child, and the feelings associated with compliance or
misbehavior, are also tied to the warmth and security of the parent-child relationship (Thompson, 2006a, 2008). These themes are considered in greater detail below.

**Understanding and use of display rules.** Emotions are intrinsically social, and one aspect of emotional development is understanding and applying social rules for the display of emotion in social settings (see Table 9.3). A person is supposed to show delight when opening a gift, even if it is undesirable (especially in the presence of the gift-giver), and one is not supposed to laugh at a defeated opponent or at someone who takes an unexpected spill on a slippery sidewalk. People use emotional “display rules” to mask the expression of true feelings with a more appropriate emotional expression to protect self-esteem, avoid hurting others’ feelings, and preserve relationships. Young children clearly do not fully share this awareness, which is why they commonly reject undesirable gifts and act in other socially inappropriate ways. Although they begin to manage their emotional expressions to protect the feelings of others as young as age 4 (Banerjee, 1997; Cole, 1986), it is not until they reach middle childhood that they can conceptualize the meaning of emotional display rules and their purposes (Jones, Abbey, & Cumberland, 1998; Saarni, 1999). Their grasp of the meaning, application, and importance of display rules for emotional behavior increases significantly in the years that follow. As it does so, children make a remarkable discovery: Emotional appearance is not necessarily emotional reality. What others show is not necessarily what they feel. People may deliberately seek to deceive concerning their true feelings. And most important, one can disguise one’s own true feelings and thus retain the privacy of emotional experience.

As a social phenomenon, display rules are susceptible to the same cultural and contextual variability as other features of emotional development. Thus, cultural values differ significantly concerning the importance of dissembling one’s emotions to others. Cole’s work in Nepal suggests that there are important conventions, different from those of the United States, for displaying both positive and negative emotions, and these are recognized by children as young as age 6 (Cole & Tamang, 1998; Cole & Tan, 2007). Even within Western cultures the display rules conveyed to boys and girls differ. It is more appropriate for girls than for boys, for example, to display feelings of sadness or fear (Fivush, 1994).

### TABLE 9.3

**Display Rules for Emotional Expressions**

<table>
<thead>
<tr>
<th>Rule</th>
<th>Definition</th>
<th>Application</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>MAXIMIZE</strong></td>
<td>Enhancing the expression of an emotion that you actually feel</td>
<td>• A young child cries more loudly after a fall when a caregiver is present</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• An adult expresses far more delight at a pleasant gift than he or she actually feels</td>
</tr>
<tr>
<td><strong>MINIMIZE</strong></td>
<td>Reducing the expression of an emotion that you actually feel</td>
<td>• A child or adolescent seems not to care very much after losing a competitive game</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• An adult shows mild dismay when receiving disappointing news in the presence of others</td>
</tr>
<tr>
<td><strong>NEUTRALIZE</strong></td>
<td>Showing no emotional expression at all even though you are feeling aroused</td>
<td>• A child puts on a “poker face” despite being teased by a sibling</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• An adult has an immobile, expressionless face when being reprimanded by an authority</td>
</tr>
<tr>
<td><strong>MASK</strong></td>
<td>Replacing the expression of emotion that you feel with the opposite emotional expression</td>
<td>• A child expresses delight when opening a disappointing gift in the presence of the gift-giver</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• An adult smiles and congratulates a coworker who has achieved recognition that the adult envies</td>
</tr>
</tbody>
</table>

*Source: Ekman, 2003; Ekman & Friesen, 1975.*
Emotion regulation. Emotion regulation concerns the management of emotional experience. In contrast to display rules, which regulate emotional expressions, strategies of emotion regulation influence emotion itself (Cole, Martin, & Dennis, 2004; Eisenberg & Morris 2002; Thompson, 1990, 1994). There are many reasons why people of all ages seek to change their emotional experience. People seek to regulate their emotions to feel better under stress (managing negative emotions and increasing feelings of happiness or well-being), think better (managing any kind of strong emotion), act courageously (curtailing feelings of fear or anxiety), enhance motivation (sometimes by accentuating guilt), elicit support (by focusing on personal distress or anxiety), affirm relationships (by enhancing sympathetic or empathetic feelings for another), and for other reasons. Understanding emotion regulation thus requires appreciating the diverse personal goals underlying regulatory efforts. Sometimes these goals are self-evident: Children and adults manage emotions when striving to cope with difficult circumstances. But these goals are also shaped by the social context: Competent emotion regulation may be best observed, for example, when a young child loudly protests a bully’s provocations when adults are nearby, but quietly tolerates this abuse when adults are absent (Thompson, 1994). In this regard, processes of emotion regulation—such as the use of display rules—are social and cultural, and it is unsurprising that skills in emotion regulation are related to social competence in children (e.g., Gilliom, Shaw, Beck, Schonberg, & Lukon, 2002).

Emotion regulation is social also because the earliest forms of emotion regulation are the efforts of caregivers to manage the emotions of their young offspring. Parents do so by directly intervening to soothe or pacify the child, and also do so in other ways, such as by regulating the emotional demands of familiar settings such as home and daycare (often in accord with their perceptions of the child’s temperamental strengths and vulnerabilities), altering the child’s construal of emotionally arousing experiences (acting enthusiastically while going on a Ferris wheel or taking a trip to the dentist), and coaching children on expectations or strategies for emotional management (Garner & Spears, 2000; Spinrad, Stifter, Donelan-McCall, & Turner, 2004). With growing maturity, however, emotions become internally managed in increasingly sophisticated ways (Thompson, 1990, 1994). Whereas the newborn infant may cry uncontrollably, the toddler can seek assistance from others, the preschooler can talk about her feelings and their causes, the school-age child can redirect attention, reconceptualize the situation, and use other deliberate cognitive strategies to manage feelings, the adolescent can use personally effective means of regulating emotion (such as playing meaningful music or talking to a close friend), and the adult can alter schedule, responsibilities, and activities to change emotional demands. The growth of these intrinsic capacities for emotion regulation is thus built on advances in emotional understanding (discussed earlier) and self-understanding (discussed later) that enable developing persons to better understand the personal causes and consequences of emotional arousal, and devise increasingly sophisticated and effective means of managing emotion.

This portrayal of the growth of emotion regulation suggests that skills of emotion management increase with age as the result of brain maturation, greater emotion understanding, and enhanced experience. But the developmental story is more complex (Thompson, Lewis, & Calkins, 2008). One reason is that neurobiologically there is a continuing interaction between lower emotion centers (such as the amygdala) and higher regulatory centers (such as the prefrontal cortex) such that they are mutually influential (Lewis & Todd, 2007; Ochsner & Gross, 2007; Quirk, 2007). The prefrontal cortex exerts inhibitory influence over the amygdala, for example, but the amygdala also constrains cortical processing in the prefrontal cortex according to emotional meanings that have been previously established. As a practical matter, this means that emotion regulation at any age is colored by emotional biases that have become incorporated into nervous system processes through genetic influences (e.g., Ebstein et al., 2000), experience (such as early chronic exposure to stress), or their interaction. In one
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The development of emotional self-regulation and emotion understanding, strategies of emotion regulation (Eisenberg & Spinrad, 2004; Eisenberg & Guth, 2001), can be used by all ages to seek to feel better under stress (Eisenberg & Spinrad, 2004; Thompson, 2006a). Children of all ages seek to feel better under stress (Eisenberg & Spinrad, 2004; Thompson, 2006a), thus requiring emotional strategies of empathetic or empathetic understanding. Emotion regulation thus requires competencies for children sometimes these goals are facilitated by emotion regulation (Eisenberg & Spinrad, 2004; Thompson, 2006a). When adults are absent (Eisenberg & Spinrad, 2004; Thompson, 2006a), the use of display rules and emotion regulation are related to empathy and children's distress (Lukon, 2002).

Emotion regulation are the strategies by which adults seek to regulate their emotions. Parents do so by using a variety of ways, such as by using strategies such as emotionally supportive daycare (often in childcare centers that are sensitive to a child's vulnerabilities), and by teaching children that emotions are related to their environment, such as by teaching children on how to regulate them (Eisenberg & Spinrad, 2004; Spinrad, 2004). Emotion regulation strategies become more effective with age (Eisenberg & Spinrad, 2004). Whereas the child's ability to regulate their emotions is not dependent on others, the adult's ability to regulate their emotions is dependent on empathy and emotional understanding. A child can redirect their emotions (such as by following a plan) to manage their emotional experience and its expression. The social context is also crucial to the growth of emotion perception and understanding, by which children develop an understanding of the meaning of emotion in their social world, and other's emotions, and emotional development. Emotional development is further enriched by the vicarious experiences of empathy and emotional contagion (Thompson, 2006a). A child's skills in emotion perception, understanding, and self-regulation are, in turn, significant influences on the child's social competence and social acceptance (Thompson, 2006a). In one study, multiple measures of preschoolers' emotional competence predicted their emotional development. Emotional competence was measured, in this study, as individual differences in emotion regulation and emotion understanding. Emotional competence was measured, in this study, as individual differences in emotion regulation and emotion understanding. Emotional competence is, in short, impossible to conceptualize emotion development except with reference to the social conditions that add meaning to emotional behavior throughout life.

Emotion and Relationships

Contemporary research on emotional development shows that emotions are not just internal experiences with outward expression, but are deeply influenced by the social contexts in which they occur. As functionalist emotion theorists note, the person–environment transactions of significance to an individual are usually social, and relationships influence not only emotion elicitation but also strategies for managing emotional experience and its expression. The social context is also crucial to the growth of emotion perception and understanding, by which children develop an appreciation of the meaning of emotion to themselves and others, and emotional development is further enriched by the vicarious experiences of empathy and emotional contagion. A child's skills in emotion perception, understanding, and self-regulation are, in turn, significant influences on the child's social competence and social acceptance (Thompson, 2006a). In one study, multiple measures of preschoolers' emotional competence predicted their emotional development with peers a year later, and also partially mediated the influence on social competence of a secure attachment (Denham, 2006; Denham, 2006; Denham, 2006; Denham, 2006). Emotional competence was measured, in this study, as individual differences in emotion regulation and emotion understanding. Emotional competence is, in short, impossible to conceptualize emotion development except with reference to the social conditions that add meaning to emotional behavior throughout life.

The most important feature of the social context is, of course, the close relationships on which people depend for support. This is especially true during the early years of life, when a child's attachment to caregivers provides an emotional context of security and reassurance, or insecurity and uncertainty, that colors self-perception and understanding of others (Thompson, 2006a). Close relationships influence emotional development because they shape many of the conditions in which young children experience, understand, and interpret emotion in the context of everyday social interaction, shared conversations, coping support, instruction and modeling, and a variety of other social influences on emotional development
FIGURE 9.2 Summary of findings from Denham et al. (2002), showing the importance of emotional competence to the development of social competence in preschoolers. Multiple measures of emotional competence directly predicted social competence a year later, and also partially mediated the influence of a secure parent–child attachment.

(Gottman, Katz, & Hooven, 1997; Laible & Thompson, 2007). Even more fundamentally, parent–child relationships color emotional development through children's developing expectations of support and sensitivity (or lack of it), the construals of emotionally relevant situations that are shaped by the adult's attributional style, and the emotional demands of these relationships on the developing person (see Figure 9.3).

Unfortunately, these relational influences are sometimes most clearly apparent in disturbed parent–child relationships when the consequences of emotional turmoil in the home for early emotional development are evident (Thompson & Calkins, 1996; Thompson, Flood, & Goodvin, 2006; Thompson & Goodman, 2010). Young offspring of parents with affective disorders such as depression are at heightened risk of problems with emotion and emotional regulation, for example, because of the caregiver’s limited accessibility as a source of emotional support, and the adult’s parenting practices that enhance the child’s feelings of responsibility and helplessness (Goodman & Gotlib, 1999). As a result, the offspring of a depressed caregiver become overinvolved in the parent’s affective problems, unduly obligated to buttress the parent’s emotional state, and feeling inappropriately guilty when their efforts inevitably fail. Children from homes characterized by marital conflict show a heightened sensitivity to distress and anger that is manifested in insecurity and diminished coping.

You didn't like that he was bouncing your guy off the game, and that made you really mad.

It's hard when you feel so angry. You're going “AAAH, he's bouncing my guy off there!” Right?

It makes you sad thinking about it, doesn't it?

You know, after you stopped the game, the other guys said, “You know, Joey wasn't really doing so bad.” You thought you were losing, but you weren't.

FIGURE 9.3 Excerpt from a mother's conversation with her 5-year-old about a recent emotional experience, illustrating one of the ways that experience of close relationships contributes to emotion understanding.
Emotion: Conclusion and Future Directions

Understanding how significantly social relationships influence emotional experience underscores the complexity of emotion and its development, and constitutes an important direction for future research. Contrary to the view that emotion is the outward expression of an internal subjectivity, research highlights that the elicitors of emotion, the meaning of emotional arousal, and the understanding of emotional experience and its expression are each deeply social processes. This research is important also for understanding the role of emotion in personality development. Because the emotions with which infants and young children begin to understand themselves and others and communicate with partners are socially instigated and construed, personality is founded on the shared meanings associated with emotion in a particular culture.

As is true in the study of temperament, developmental emotions research is also advancing through genetically informed research designs that enable scientists to understand the hereditary foundations to emotional responding, and by neurobiological research that permits greater insight into the areas of the brain relevant to emotion activation and emotion regulation. It is easy to read these research literatures and conclude that these represent the “nature” of emotional development whereas studies of social influences reflect its “nurture.” But as research on temperament has also shown, dichotomizing biological and social influences on developing individuality is scientifically obsolete as research, earlier reviewed, increasingly demonstrates that gene–environment interactions are important, as are the influences of experiences on brain development. In each case, emotional growth is the result of the dynamic interaction over time of biology and experience.

This conclusion is also true of the development of emotion regulation, which will also continue to provoke future research in this field. It is not difficult to understand why, in light of the importance of emotion regulation to the development of social competence and emotional well-being, and the relevance of emotion regulation to understanding problems in developmental psychopathology—such as conduct disorders, depression, and aggressive behavior—and their remediation. But research increasingly shows that emotion regulation is a more complicated developmental process than is often assumed, involving a deeper interaction between the “activational” and the “regulatory” features of emotion. As this interaction is further studied, it will likely lead to more insightful ways of understanding the origins of affective psychopathology in childhood as well as promising treatment interventions.
9. The Self

As mature people, it is almost impossible to conceive of life without a sense of self. The integrated perception of “me” at the center of all of life experience seems natural and inevitable. But if we stop to consider all that “self” encompasses, it is apparent that this concept is considerably more complex than our intuitive experience suggests, and that the development of self is a multifaceted process that extends throughout life. Moreover, because the sense of self organizes and integrates our experience of who we are in the context of changing life events, it provides an essential foundation to personality development. Studying the growth of self is thus an essential developmental task, and (as we shall see) requires methods that are as diverse as are the different facets of “self.”

What is “Self”?  

At the core of “self” is, of course, the sense of subjective self-awareness. This is the perception of self as an actor, perceiver, emitter, thinker, and experiencer in the midst of all of which one is consciously aware. It is the “I-self” that, to William James (1890) and his followers (Harter, 2006), denotes the uniquely personal experience of life, in contrast to the “me-self” that constitutes the various personal experiences of life, in contrast to the “me-self” that can be objectively known about a person by others. Subjective self-awareness develops very early in life and provides a foundation for the growth of self.

But there is more. Self also includes self-representation, who you think you are. This includes physical self-recognition, assigning categorical labels to the self (for gender, racial or ethnic identity, age, and the like), attributing characterological qualities to self (such as shy, friendly, bright, or strong-willed), and understanding how self-relevant processes function (such as thinking, feeling, and motivation). These forms of physical and psychological self-definition provide the basis for perceiving similarities and differences between self and other people, applying social constructions of psychological attributes (such as gender) to the self, gaining insight into the causes and motives underlying one’s behavior, making ingroup-outgroup differentiations, self-management, and creating a self-concept. Although self-representation expands and is refined considerably throughout life as self-awareness assumes progressively more complex and differentiated features, the development of self-representation also begins quite early. Long before the second birthday, for example, toddlers are capable of recognizing their physical mirror image (Lewis & Brooks-Gunn, 1979), have begun to use language for simple self-description (Stern, 1985), and can identify basic emotional states in themselves (Bretherton, Fritz, Zahn-Waxler, & Ridgeway, 1986). By contrast, it is not until later childhood and adolescence that children begin to perceive themselves as members of societal groups and can evaluate ingroup-outgroup distinctions (Killen, Lee-Kim, McGlothlin, & Stangor, 2002).

Another feature of self is autobiographical personal narrative by which the recollections of specific events in the past are integrated because of their personal meaning and relation to the self (Nelson & Fivush, 2004). Although young children are fairly proficient at recalling general events in the immediate past, it is not until after age 3 that autobiographical memory emerges, and most adults cannot recall events of their lives prior to this age (Howe & Courage, 1993; Welch-Ross, 1995). Autobiographical memory differs from general event recall because of the sense of self that is central to the narrative account, organizing and giving meaning to the events that are remembered. One reason for the relatively late emergence of autobiographical personal narrative, therefore, is that the kind of self-knowledge required to instill past events with personal meaning does not begin to emerge until after the third birthday (Howe & Courage, 1993, 1997; Nelson & Fivush, 2004).
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how children begin to be interested and concerned with how their behavior is perceived by caregivers (Lagattuta & Thompson, 2007; Stipek, 1995). This is the beginning of more complex processes by which the self is oriented to its social context. In the years that follow, for example, social comparison processes will become an important facet of self-evaluation (Frey & Ruble, 1990), children and adolescents will be concerned with managing their self-presentation through self-monitoring strategies (Snyder, 1987), and adolescents will realize that they have multiple selves that are suited to the expectations and demands of different social situations—and they will sometimes wonder which is their "true self" (Harter & Monsour, 1992).

Although these different facets of the "self" are not independent, conceptually disentangling them allows insight into two features of the development of self that might otherwise remain unrecognized. First, the growth of self begins surprisingly early but is an extraordinarily complex, and therefore extended, developmental process. Although some sense of self exists from very early in the first year, self-awareness is qualitatively different for infants, young children, adolescents, young adults, and older people because of the changes in self-representation, autobiographical personal narrative, and self-evaluative processes that occur over time. Asking when "the self" develops is, in this sense, a meaningless question.

Second, the developing self is the core of developing individuality and of the growth of personality. The sense of self provides coherence and organization to personal experience through the feeling of subjective self-awareness and the self-representations through which experience is interpreted (Sroufe, 1996; Stern, 1985). It offers the realization of temporal continuity between personal past, present experience, and expectations for the future through autobiographical narrative and a continuing self-concept (Moore & Lemmon, 2001). It provides a means of self-understanding and thus contributes also to understanding other people through the recognition of how one is similar to, as well as different from, others (Harter, 2006). In these ways, the developing self, like temperament and emotional growth, provides an essential scaffold to the developing personality.

These features of the self develop within the context of cultural beliefs about the nature of self. Thus, although children universally distinguish themselves from others and assign categorical labels to who they are, the nature and characteristics of self that children identify, and what children value (or devalue) about themselves depend on the culture context in which they live. These cultural views of the self are developed quite early. Mothers from the United States emphasize their preschool offspring's autonomy much more in conversation and social interaction than do Japanese mothers, for example, although there are also social class differences in maternal behavior within the US (Dennis, Cole, Zahn-Waxler, & Mizuta, 2002;
These socialization differences are already reflected in the autobiographical narratives of children from Western and non-Western cultures (Han, Leichtman, & Wang, 1998; Wang, 2004). Such cultural differences in conceptions of the self are important because Western ideas are inherent in how self-development is conceptualized and studied in contemporary psychology, and offer a reminder that the self is socially constructed in somewhat different ways throughout the world (Thompson & Virmani, 2009).

**Methods of Developmental Study**

As this discussion of the multifaceted features of the self suggests, researchers rarely strive to study the developing “self” in a comprehensive fashion. Rather, they study the growth of elements of self: self-descriptive statements, autobiographical memory, self-esteem, and other self-system processes. This means that research methods must be carefully developed to index the specific self-related processes of interest, as well as being suitable to the ages of the children under study. Researchers use different empirical tools for studying the growth of autobiographical memory (involving, for example, guided recall of events in the recent or distant past in conversation with the mother, with particular attention to the child’s self-referential statements) than they use to investigate developmental changes in self-esteem (in which affective self-regard is assessed, often in response to carefully designed interview questions) or young children’s self-descriptions. Each is a component of the developing “self,” but each must be evaluated using methods that are specific to the particular domain of the self that is of special interest.

Studying the developing “self” is challenging also because the focus of empirical inquiry is on a young person’s self-perceptions, and thus research methods often depend on self-report. The development of appropriate self-report measures for children of different ages can be challenging. Developmental scientists have long known, for example, that the conceptual capabilities of toddlers and preschoolers can be underestimated because of their limited capacities to verbalize their own thoughts and understanding, so researchers in this field have had to be creative in developing procedures to assess developing self-awareness in very young children who may not yet have the words to express what they think or feel. This can involve ingenious strategies of story construction (Emde, Wolfe, & Oppenheim, 2003), puppets (Measelle, Ablow, Cowan, & Cowan, 1998), or other procedures to elucidate what young children know and think about themselves. Researchers’ success in doing so has helped to reveal that young children think of themselves more complexly and with greater psychological insight than was earlier believed. Even more striking is research on infants’ self-recognition enlisting nonverbal methods that require babies to discriminate the effects of their own actions from those of others (Rochat & Striano, 2002). Creative methods are, in short, necessary in this field.

**A Developmental Outline of the Self**

There is considerable interest in the growth of the self because of the insights it affords into the emergence of personality. Moreover, because the experience of self provides a window into what a person finds rewarding or unpleasant, how he or she is motivated, and how personal experiences are construed, understanding the growth of self offers insights into individual differences in many other developmental processes.

**Infancy.** In traditional formulations, the newborn enters the world in a state of psychological disorganization or in a condition of psychological undifferentiation from the caregiver. In either case, the newborn was believed to be poorly prepared for the development of a
9. THE INDIVIDUAL CHILD

sense of self. Current views emphasize, by contrast, that young infants have surprising capabilities for assembling, from isolated everyday experiences, the consistent frame of reference that eventually develops into subjective self-awareness (Gergely, 2007; Meltzoff, 2007). As they are physically handled, move and touch things, respond to social interaction, and experience assorted visceral sensations, young infants progressively acquire a sense of physical self-awareness related to the functioning of their bodies. As they act on social and nonsocial objects (especially those that respond contingently), the experience of agency contributes to a dawning awareness of volition and its consequences. As they experience varieties of emotion, especially in response to social interaction, the sense of subjectivity is enriched and further consolidated. These experiences contribute to the development of a basic experience of the subjective self within the first 6 to 8 months of life.

After this, the self grows dramatically. By the end of the first year, self-awareness in relation to interpersonal (or intersubjective) events has emerged with the infant’s dawning realization that others are also subjective entities who have viewpoints that are different from, and potentially can be shared with, the self (Tomasello & Rakoczy, 2003). Self-awareness is revealed in behavior as diverse as proto-communicative acts (sounds to others that signal intention or desire), efforts to achieve joint attention (when the baby reaches from a high chair to a desired toy, for example, while making urgent sounds and maintaining eye contact with the caregiver), striving to reengage social interaction after a period of inattention, and social referencing (Rochat & Striano, 2002; Tomasello & Rakoczy, 2003). In these and other ways, young infants reveal an implicit awareness that others have subjective states (such as attention and emotion) that can be altered by the child’s efforts. Well before the second birthday, infants also become capable of physical self-recognition when presented with their mirror images (Lewis & Brooks-Gunn, 1979). They touch self-referentially when looking at themselves in the mirror (see Figure 9.4).

Late in the second year and early in the third, toddlers show indications of early self-representation in their verbal self-reference, such as using their names or referring to “me” (Bates, 1990) and in their use of simple emotion terms to describe their internal experiences (Bretherton et al., 1986). Other indications of emerging self-representation are assertions of competence, such as insisting on “do it myself” (Bullock & Lutkenhaus, 1988; Stipek, Gralinski, & Kopp, 1990), identifying the self by gender and in other ways (Ruble, Martin, & Adams, 2008), and the emergence of self-conscious emotions such as pride, embarrassment, and guilt (Lagattuta & Thompson, 2007). During this time, toddlers are also acquiring a sensitivity to standards and their applications to the self (Kochanska, Aksan, Prisco, & Adams, 2008), showing early signs of conscience (Thompson et al., 2006), and beginning to exercise self-control (Kopp & Wyer, 1994). Young children in the second and third years are, in short, experiencing rapidly expanding self-awareness and self-representation.
The growth of self occurs because of changes within the child, and also because of relational influences. The organization and continuity of early experience provide the basis for emerging self-awareness. This organization derives from how parents structure the baby's experience around routines that are manageable, repetitive, and predictable, so that the baby becomes capable of anticipating events that will occur. Caregivers also offer social interactive experiences that are emotionally salient and reciprocal, so that the baby's social initiatives and responses have meaningful and contingent consequences (Thompson, 2006a). In providing experiences with these characteristics, sensitive caregivers offer the structure and consistency that enable a sense of self to become organized. Caregivers also provide opportunities in social interaction for infants to learn about the different subjective viewpoints that people possess, and to practice the skills for gaining access to these alternative subjectivities (through gestural and vocal communication, social referencing, and in other ways) (Tomasetto & Rakoczy, 2003). Later, as self-representations begin to take shape, social interactions with caregivers shape the conditions in which toddlers feel proud or shameful, the competencies that they seek to exercise independently or with assistance, and the ways that they begin to identify and represent themselves as well as other people (Lagattuta & Thompson, 2007; Stipek, 1995). In this respect, consistent with classic theories of the self (e.g., Mead, 1934), young children begin to regard themselves through the lens of others' regard for them (i.e., the "looking glass self").

Unfortunately, not all caregivers provide a sensitive relational context for the development of positive or healthy self-regard. As self-representations begin to take shape in the second and third years of life, a parent's inappropriate expectations, belittling judgments, or impatience can contribute to negative self-regard, expressed in young children's shame or avoidance, even before a young child has mastered the linguistic capabilities for expressing self-regard (Kelley & Brownell, 2000). Indeed, it is possible that the roots of negative self-representations begin even earlier, in the manner in which infants learn to expect either positive and supportive assistance or more abrupt or negative interactions with their caregivers during everyday episodes of social play, caregiving routines, and the relief of distress. In one study, the mother's report of parenting stress and depressive symptomatology was associated with young children's negative self-concept, but a secure mother-child attachment was associated with positive self-concept in the same children (Goodvin, Meyer, Thompson, & Hayes, 2008). It is for this reason that many developmental researchers regard a secure parent-infant attachment as a crucial foundation for the growth of healthy self-regard because of its influence on the young child's developing self-representations or, in the words of some attachment theorists, internal working models of the self (Thompson, 2006a).

Early childhood. These "working models" become further elaborated and consolidated in the relational experiences of early childhood. This occurs because of significant growth in young children's self-representations during the preschool years. During this period, children acquire an expanded appreciation of psychological states in themselves and others, incorporating an increasing understanding of people's intentions, goals, emotions and desires, and later of thoughts, beliefs, and expectations, into their rudimentary "theory of mind" (Wellman, 2002). An expanding comprehension of internal psychological states not only assists young children in comprehending the thoughts and emotions of others, but also in understanding themselves and their own feelings and thinking. This development is reflected in how they describe themselves. Young children rely primarily on concrete, observable features in their spontaneous self-descriptions (e.g., "I am big, I can run fast, and can count to 100"), but they can also use psychological trait terms ("I am naughty sometimes, but good with adults") when describing themselves (Eder, 1989, 1990; Measelle et al., 1998). Although young children's use of trait terms such as "good" and "naughty" lacks the rich meaning inherent in how
older people use these concepts, these self-descriptions are like personality traits in that they show stability over time and are similar to how others (such as their mothers) describe them (Brown, Mangelsdorf, Agathen, & Ho, 2008; Eder & Mangelsdorf, 1997). Even a preschooler’s use of a concrete feature, such as describing herself/himself as a girl or boy, is accompanied by basic understanding of the psychological attributes and stereotypes associated with being male or female (Ruble et al., 2006); Preschoolers are, in short, beginning to acquire increasingly complex and multidimensional self-representations based on how they perceive their physical (including temperamental qualities) and psychological characteristics (Brown et al., 2008; Marsh, Ellis, & Craven, 2002; Measelle, John, Ablow, Cowan, & Cowan, 2005) (see Table 9.4).

These self-representations are strongly influenced by how children believe they are regarded by others. Indeed, one of the hallmarks of early childhood is preschoolers’ growing concern with how they are seen by the significant people in their lives (Lagattuta & Thompson, 2007; Stipek, 1995; Thompson et al., 2006). When they are engaged in misbehavior or tackling challenging tasks, for instance, young children are sensitive to the implicit or explicit standards of conduct that are reflected in parental evaluations of their behavior. They often anticipate positive parental responses (and seek to avoid negative reactions) before they occur, and incorporate parental standards into their own self-evaluations. Parental values, expectations, and beliefs are transmitted in many other contexts also. As noted earlier, for instance, everyday conversations between young children and their parents are a forum for meaningful lessons about behavioral expectations, emotional reactions, causal attributions, and the self in the context of the shared recall of the day’s events. Consider, for example, the following brief conversation between a young child and his mother about an event earlier in the morning (Dunn & Brown, 1991, p. 97):

**Child:** Eat my Weetabix. Eat my Weetabix. Crying.

**Mother:** Crying, weren’t you? We had quite a battle. “One more mouthful, Michael.” And what did you do? You spat it out!

**Child:** (Pretends to cry)

Embedded within this short, shared recollection about a breakfast dispute are significant messages from the mother about the causal sequence of events, the reason for Michael’s distress, and culpability for perceived misbehavior. These messages to the child are also

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**TABLE 9.4**  
Early Psychological Self-Representations in Preschoolers

<table>
<thead>
<tr>
<th>4½- to 7½-year-olds¹</th>
<th>4- and 5-year-olds²</th>
<th>5½-year-olds³</th>
<th>4- and 5-year-olds⁴</th>
</tr>
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<tbody>
<tr>
<td>Academic competence</td>
<td>Timidity</td>
<td>Self-control</td>
<td>Self-concept in</td>
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<tr>
<td>Achievement motivation</td>
<td>Agreableness</td>
<td>Self-acceptance via achievement</td>
<td>terms of:</td>
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<td>Social competence</td>
<td>Negative affect</td>
<td>Self-acceptance via affiliation</td>
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<tr>
<td>Peer acceptance</td>
<td>Positive self-concept</td>
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<td>• physical appearance</td>
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<td>Depression-anxiety</td>
<td></td>
<td></td>
<td>• peers</td>
</tr>
<tr>
<td>Aggression-hostility</td>
<td></td>
<td></td>
<td>• parents</td>
</tr>
</tbody>
</table>

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¹ Measelle et al. (1998).
² Brown et al. (2008); Goodvin et al. (2008) (each research group used the same dimensions, although Goodvin and colleagues also identified positive self-concept).
⁴ Marsh et al. (2002).
lessons about the self, of course. By the mother’s account, Michael’s crying resulted from uncooperative behavior (by contrast with good boys, who cooperate) that resulted in his crying, whereas Michael’s initial representation of the morning’s confrontation likely focused instead on his mother’s insistence about eating unpleasant breakfast cereal. In discussing everyday events like these with young children, similar lessons about the self are implicitly transmitted by caregivers in how they structure, elaborate, and clarify the child’s simple representations of daily experience.

Furthermore, many developmental researchers believe that early, shared recountings of daily experiences with an adult also provide the basis for autobiographical personal narrative (Miller, 1994; Nelson & Fivush, 2004; Welch-Ross, 1995). It is easy to see why. By instilling an interpretive framework organized with reference to the child, caregivers structure the shared recounting of personal experiences in a manner that reinstatements and consolidates recall, and also underscores the personal significance of events for the child. This makes events more memorable and helps to integrate them into a network of representations of events that are knit together because of their relevance to self. Indeed, parents’ use of rich emotional language for highlighting the personal significance of events in conversations with their young children is associated with children’s early self-concept development (Bird & Reese, 2006; Welch-Ross, Fasig, & Farrar, 1999).

Autobiographical memory does not begin to emerge until after the third birthday because prior to this time, young children have limited self-knowledge, are insufficiently self-aware psychologically to represent events autobiographically, and also have minimal capabilities for converging meaningfully with parents (Nelson & Fivush, 2004; Reese, 2002; Welch-Ross, 1995). Furthermore, children’s capacity to conceptualize the self as enduring over time may not develop until early in the fourth year (Povinelli & Simon, 1998). Once these abilities have developed, shared conversations with caregivers provide an important foundation to the growth of autobiographical memory. This means that children’s autobiographical personal narratives—or, put differently, their emergent “working model” of the self—are likely to incorporate the moral values, causal attributions, emotional inferences, and perceptions of the child that caregivers incorporate into their shared recollections of the child’s experiences (Thompson, 2006a). A parent who regards the young child as mischievous, rambunctious, cautious, or moody is likely to instill these perceptions into the shared recounting of the day’s events, and these characteristics are likely, in turn, to be incorporated into the child’s self-representations. At later ages, as children become mnemonically more skilled, they are less reliant on shared conversations with parents to retain autobiographical events, but for younger children the influence of these conversations is powerful. It is unsurprising, therefore, that young children’s psychological self-representations are similar to how their mothers regard them (Brown et al., 2008; Eder & Mangelsdorf, 1997). But as a consequence, some young children are vulnerable to incorporating the negative or demeaning judgments of their caregivers.

In general, most young children have a positive view of their competencies (Wigfield, Eccles, Schiefele, Roeser, & Davis-Kean, 2006). Young children perceive themselves as capable in many things, confidently predicting success even when they have failed, and maintaining sunny expectations for the future (Harter & Pike, 1984). One reason is that young children have difficulty distinguishing between their desired and their actual performance and, believing that ability can be changed with increased effort, do not easily recognize the limits in their capabilities (Stipek, 1984). Another reason is that preschoolers do not spontaneously enlist social comparison to evaluate their performance against the behavior of others, but instead evaluate their skills against what they were capable of accomplishing at an earlier age (Frey & Ruble, 1990). By the standard of temporal comparison, of course, most young children can feel positive and optimistic about their capabilities. However, when social comparison
information is made salient to them, even children as young as 4½ may knowingly use this information to evaluate their own competence (Butler, 1998). Their sunny self-regard does not last long, however. There is a progressive decline in children's judgments of their own competencies as they proceed through the primary grades (Wigfield et al., 2006).

**Middle childhood.** Self-understanding changes considerably in the school years as children develop more differentiated, realistic, and sophisticated forms of self-representation and self-evaluation. One reason this occurs is the growth of spontaneous social comparison enlisted into self-evaluation. In middle childhood, children spontaneously and more thoughtfully compare their capabilities and attributes with those of their peers to determine how well they "measure up" (Frey & Ruble, 1990; Pomerantz, Ruble, Frey, & Greulich, 1995). Because few children excel in all aspects, social comparison fosters a more differentiated awareness of personal strengths and weaknesses—the realization, for example, that someone may be skilled at sports, have difficulty with math, but be average in social popularity (Cole et al., 2001). Social comparison processes are fostered by the intellectual competencies that emerge during this period of life (Case, 1991), and also by the social conditions of middle childhood. Schools increasingly use comparative performance-based evaluations (in contrast with the rewards in preschool for simply trying), and in clubs, athletic groups, and other gatherings children are organized into age-stratified groups that better enable them to compare their capabilities with those of their peers (Wigfield et al., 2006).

Social and temporal comparison processes, together with expanding cognitive capabilities, have important consequences for children's developing self-understanding in middle childhood. They contribute to more complex and sophisticated self-evaluations. In contrast to the comparatively general positive self-regard of preschoolers, older children's self-descriptions include a more balanced assessment of personal strengths and weaknesses. Distinguishing among various domains of competency—such as academic achievement, athletic prowess, peer popularity, physical appearance, behavioral conduct, and, somewhat later, romantic appeal and job competence—children view themselves as having desirable and/or undesirable qualities in each of many different domains (Cole et al., 2001; Harter, 2006). Children also see themselves in the context of the multiple roles they assume in middle childhood as family member, student, teammate, club member, and other roles, and in relation to the various identities they assume as Asian, Jewish, female, middle-class, and so forth (Harter, 2006). In middle childhood, children also become increasingly aware that differences in ability are not easily changed, and can constrain potential achievement (Dweck, 2002; Pomerantz & Saxon, 2001). They are thus better able to use past performance and personal self-awareness as a guide to more accurately predicting how well they will perform.

Self-esteem in certain domains begins to decline during the school years because of children's more realistic and self-critical self-assessments and the influence of social comparison. Children's perceptions of their physical and intellectual competencies, for example, decline progressively throughout the school years (Eccles, Wigfield, Harold, & Blumenfeld, 1993; Wigfield et al., 2006; but see Cole et al., 2001, for different conclusions). These declines are for both boys and girls, although depending on the domain, rates of decline vary somewhat by gender (Jacobs, Lanza, Osgood, Eccles, & Wigfield, 2002). A decline in academic self-esteem is perhaps inevitable in light of the unrealistic optimism of the preschool years, but it helps to explain why individual differences in self-confidence and their motivational implications become so important in middle childhood (Dweck, 2002). By contrast, other facets of self-esteem, such as children's perceptions of their social competence, rise rather than decline throughout middle childhood (Cole et al., 2001). As these diverse changes in self-concept reflect, older children have a better understanding of personality traits as internal dispositions.
that are manifested in diverse behavior, and begin to perceive themselves as persons with complex personalities.

The emergence of social comparison and other social influences on developing self-understanding reveals also the growth of the social self, as children increasingly perceive themselves in terms of a complex social context. In middle childhood, children become more adept at self-presentation: managing how they appear before others. With respect to emotions, for example, children acquire considerably greater understanding and apply this insight to developing skills of emotion regulation and in using emotional display rules to dissemble their true feelings in the presence of others (Saarni, 1999). Children know how to act appropriately in different social situations and have the self-control to do so, whether remaining quiet at a concert, looking attentively in class, or adopting an easy-going demeanor with friends. The increasingly self-conscious enactment of socially appropriate behaviors on the stage of public regard reflects the greater insight of older children into their social selves, and provides a foundation for further advances in self-understanding of adolescence.

Adolescence and beyond. The intellectual capacity for abstract thought flourishes during adolescence, and abstract thought enables teenagers to think of themselves and others much differently than before (Collins & Steinberg, 2006). They can reflect on discrepancies as well as consistencies within their profile of personality characteristics, and ponder what these discrepancies mean for the integrity of who they are (Harter, 2006). They can appreciate the different personae they appear to be in different social situations, and wonder which (if any) reveals their “true self” (Harter & Monsour, 1992). They can contrast their personal characteristics with the attributes of an idealized self, and worry over whether the gap will ever be narrowed (Markus & Nurius, 1986). As one teenager put it, “I’d like to be friendly and tolerant all of the time. That’s the kind of person I want to be, and I’m disappointed when I’m not” (Harter, 1990).

Adolescents can also reflect on the life experiences that have contributed to their unique personalities, and believe that nobody else has the same personal outlook that they do. They can ponder how others regard them and experience considerable self-consciousness that derives from assuming that others view them with the same critical scrutiny with which they regard themselves. They can also begin wondering about the roles and responsibilities they will assume as adults, and in doing so begin the process of forging an occupational, religious, political, and sexual identity (Erikson, 1968; Kroger, 1993). Gender identity intensifies, along with teenagers’ self-segregation into gender-typed activities and interests (Ruble et al., 2006). The burgeoning capacities for abstract thought, together with the social circumstances of adolescence and the psychobiological changes associated with puberty, can foster significant changes in self-understanding, self-evaluation, and the social self (Collins & Steinberg, 2006). They can also make adolescence a period of introspection, disturbing self-criticism, and pain as well as pleasure at the new forms of self-awareness that emerge. These challenges can invite anxious self-reflection, bold experimentation, or both. Indeed, two reasons that adolescents report for engaging in “false self” behavior, besides the effort to ensure acceptable self-presentation to significant others, are that others devalue who they truly are and to experiment with different roles and different possible selves (Harter, Marold, Whitesell, & Cobb, 1996).

The effort to “discover” or “find” oneself in adolescence derives not necessarily from deficiencies in prior self-understanding but rather from the new questions about the self that are raised by new forms of self-awareness, as well as new social roles and circumstances. The psychobiological changes of puberty mean that teenagers are perceiving themselves, and are being perceived by others, less as children and more like young adults, and these changes in how they are regarded by others can introduce welcomed as well as confusing revisions in
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As persons with developing self-concept, we increasingly perceive ourselves and others in discrepancies as we ponder what these mean for us. Some wonder whether remaining child will ever be friendly and accounted for, while others are aware that the behaviors on the social self and that of the social self, and are beginning to enter into adult roles and activities (in an after-school job, in classes that teach job skills or prepare for college, and in exploring romantic and sexual relationships). Given how much one's physical appearance and social context are bedrocks to personal identity at any stage of life, it is unsurprising that these rather dramatic pubertal and role-related changes can provoke significant questions about the self in adolescence.

It might be expected that with the onset of these psychobiological, cognitive, and contextual changes in early adolescence, self-esteem declines. But the story of adolescence is similar to middle childhood: Self-esteem changes in various ways for different domains of self-perceived competence, such as academic, social, and athletic (Cole et al., 2001). Consistent with their experience at earlier ages, adolescents can benefit from positive, supportive parenting that incorporates acceptance and approval, permitted self-expression, and behavioral guidelines that are rationally discussed (Collins & Steinberg, 2006; Grofnick, 2003). Indeed, contrary to popular stereotypes about the teenage years, parents remain important to adolescents' self-esteem even as peers also become important, partly because of parents' centrality to the teenager's life experience.

As many of the psychological, psychobiological, and social transitions of early adolescence are consolidated and accommodated, adolescents increasingly look to young adulthood with goals and plans that they are working to achieve. To be sure, the "who am I?" questions that are inaugurated in adolescence are rarely answered with any certainty or completeness by the close of the teenage years. Issues of identity, multiple selves, and the dissonance between actual and ideal selves continue to be explored in early adulthood, and may be recoupled much later in life as the result of significant life changes (e.g., divorce, job loss, personal injury). By contrast with the adolescent's initial encounter with these questions about self, however, the adult can approach these issues as familiar if nagging concerns, having crystallized a sense of self in the choices of occupation, marital partner, and lifestyle that both reflect and help to define who you are, and in the other life circumstances that provide stability and consistency to daily experience. In this respect, the sense of self is more reliable in adulthood because, having engaged in the explorations of the teenage years, the adult has made choices and commitments that (to a greater or lesser extent) are satisfactory, and that provide a foundation for occupation, family, and other pursuits of life.

Self: Conclusion and Future Directions

A sense of self is such a familiar part of individuality and self-regard that it is easy to forget how painstakingly self-understanding is constructed through infancy, childhood, and adolescence. Moreover, a sense of self is such a central frame of reference for personal experience that it is easy to neglect how much it is a product of social influences. These influences begin with the quality of care an infant receives, continue with how autobiographical self-awareness is constructed through conversation, and extend even to the discovery of self in the context of adolescent peer relationships. This is important especially when we appreciate that a sense of self is a core feature of personality development. Personality derives, in part, from how people create a sense of themselves from personal self-reflection and self-awareness, and also by looking in the mirror of others' regard for them.

Cultural practices provide an envelope within which the self develops from birth throughout life, and future research will devote greater attention to the intersection between cultural values, family practices, and the development of self (Thompson & Virmani, 2009). All of the
social influences on the development of self discussed above are culturally colored, and this begins early in the different experiences of contingent social play that help to create a sense of subjective self-awareness in infancy, to the different opportunities afforded for mirror self-recognition, to the incentives and support for developing skills in self-management, to parents' expressed and implied evaluations of children's conduct (see Keller, 2007; Rogoff, 2003). Cultural practices further influence the development of self in how parents converse with their children about everyday experiences, emphasizing the child's feelings, the lessons of experience, or responsible conduct depending on the cultural context (Wang & Fivush, 2005; Wang, Leichtman, & Davies, 2000). To the extent that the development of self is socially constructed, culture will receive increased attention as an important part of that constructive context.

The importance of culture is also reflected in the cultural diversity of children growing up in the United States. In light of the remarkable increase in racial and ethnic diversity, attention to the development of self, ethnic and racial identity, cultural identity development, and acculturation processes as part of the development of self will require increased research attention (Quintana, 2007, 2008). Research findings suggest that for children of immigrants, for example, the manner in which children fit into the broader community—and the community, in turn, fits into the dominant American society—can significantly determine the extent of acculturative stress they experience, including stress related to self-understanding and identity (Zhou, 1997). Attention to cross-cultural and within-culture diversity in the development of self will contribute to a broader appreciation of the social determinants of how children come to understand who they are, and the influence of developing self-awareness on personality growth.

CONCLUSIONS

Although the newborn enters the world as a biologically unique individual, temperamental individuality provides only the most basic core of personality development. As capacities for emotional responding and understanding subsequently unfold, temperamental qualities become elaborated and integrated into a broader network of capabilities. And as a nascent sense of self and self-understanding also develop, temperament becomes incorporated into how the child experiences the self, how others perceive the child, and how self-understanding emerges from the interaction of these processes.

Personality development encompasses, of course, a broader range of influences than just temperament, emotion, and self-awareness. This discussion has touched on many of these additional contributors to personality growth. Personality is shaped by significant relationships, especially those with the mother, father, and other primary caregivers, who provide an emotional context of security and support (or, alternatively, insecure uncertainty) in which confidence, self-esteem, sociability, and empathy (or their opposites) can emerge (Thompson, 2006a). Personality is also forged by broader social experiences, such as with peers in the school and neighborhood, the socioeconomic conditions of the family that shape life challenges and opportunities, and the cultural norms and beliefs that envelop all of these processes, which remind us that the development of "the individual child" is a theoretical abstraction. Personality development is also shaped by the emergence of conscience and moral understanding, social cognition and its influence on social behavior, and the emergence of gender identity that also infuse the growth of emotion and of self. In a sense, personality development is an inclusive construct that incorporates the variety of psychobiological, conceptual, social, and contextual influences that self-organize to constitute developing individuality through the life course.
In focusing on temperament, emotion, and self, however, we have considered three of the earliest foundations to developing individuality that provide a basis for personality growth and the elaboration of personality in the years following infancy (Caspi & Shiner, 2006). With the foundation in place in the initial years of life, personality unfolds and becomes individually refined, consolidated, and strengthened in many ways (Caspi & Shiner, 2006; Thompson, 1988). Personality influences how experiences are construed and interpreted by people, and thus how they respond to social and nonsocial events, and the reciprocal effects they have on future development. Personality influences the choices of social partners, activities, and environmental settings, and the opportunities and challenges these choices pose for further growth. Personality influences the resiliency and vulnerabilities that all individuals experience in their encounters with stressful and everyday life events, as well as the resources people have for coping. Personality influences self-construction and the multifaceted ways that individuals perceive themselves in their current life circumstances in light of how they understand their personal past, their current dispositions, and their needs and desires. In these and many other ways, therefore, personality develops but also mediates between the individual and the social and nonsocial world, and the interaction between personality and the social context affects growth in many developmental domains, and shapes the further growth of personality.

Developing individuality is thus both exceedingly personal—touching at the heart of our sense of self—and socially constituted. This is true at the beginning of life, and throughout the life course. It is in this manner that we experience ourselves as unique actors in the social world, but sharing much in common with those with whom we live life.


